

### REMARKS

The above-identified patent application has been amended and reconsideration and reexamination are requested. Applicants have amended claims 1-6 and added new claims 53-68. No new matter was added.

Attached is a marked-up version of the changes being made by the current amendment.

The Examiner rejected claims 1-6 under U.S.C. §112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter that applicants regard as the invention.

Applicants have amended claims 1-6 to more particularly point out the invention and to avoid ambiguousness. No new matter has been added.

The Examiner has requested under 37 C.F.R. §1.105 that applicants' provide additional information that the Examiner has determined is reasonably necessary to the examination of the patent application, as listed below.

The Examiner asked applicants to provide the names of any prior products or services that have incorporated the claimed subject matter.

The claimed subject matter is incorporated into NASDAQ's Surveillance Delivery Real-Time (SDR) System.

The Examiner asked applicants to provide the names of any products or services that have incorporated the disclosed invention competing in the same space as that of the claimed invention.

This information requested is unknown and/or is not readily available to the party or parties from which it was requested.

The Examiner asked applicants to state the improvements of the subject matter in claims 1-7 over the disclosed art.

Applicants' claim 1, as amended, calls for "...comparing the new quote to one or more existing quotes for the same item and transmitting an alert message in response to comparing if new quote causes a locked or crossed market condition."

Applicant : Ana Gabriela Anaya et al.  
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As claimed in claim 1, the new quote is compared to one or more existing quotes and an alert message is transmitted if the new quote causes a locked or crossed market condition. This provides detection and/or resolution of a variety of alert conditions, such as locked or crossed market conditions. As described in applicants' specification process 180 for detecting and/or resolving alert conditions is provided. The process includes an external communication stage, an execution stage and a conduction stage (pages 21-24).